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# NOTICE OF ALLOWANCE AND FEE(S) DUE

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| NGUY     | 'EN, SIMON   |
| ART UNIT | PAPER NUMBER |
| 2618     |              |

DATE MAILED: 06/21/2007

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/657.469      | 09/08/2003  | Fred S. Cook         | 1387A               | 9818             |

TITLE OF INVENTION: VALIDATING A TRANSACTION WITH USER VOICE AUTHENTICATION USING WIRELESS COMMUNICATIONS

| APPLN. TYPE SMALL ENTITY |    | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|--------------------------|----|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional           | NO | \$1400        | . \$0               | \$0                  | \$1400           | 09/21/2007 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE
Commissioner for Patents

P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| •  | ENCE ADDRESS (Note: Use Bl  |  | Fee  | (s) Transmittal. This c  | ertificate cannot be used for   | r domestic mailings of the<br>or any other accompanying<br>nt or formal drawing, must   |
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| 28004<br>SPRINT<br>6391 SPRINT P<br>KSOPHT0101-2   | Z2100   |  | I he<br>Stat<br>add<br>tran  | Certifi<br>reby certify that this I<br>es Postal Service with<br>ressed to the Mail S<br>smitted to the USPTO            | cate of Mailing or Transi<br>Fee(s) Transmittal is being<br>sufficient postage for firs<br>top ISSUE FEE address<br>(571) 273-2885, on the da   | nission deposited with the United t class mail in an envelope above, or being facsimile ate indicated below.                                    |
| OVERLAND PA  | ARK, KS 66251-210   |  |  |  | <u> </u>  | (Depositor's name)  |
| •  |   |  | ļ  |  |   | (Signature)   |
|  |   |  |  |  |   | (Date)  |
| APPLICATION NO.  | FILING DATE   |  | FIRST NAMED INVENTOR   | A  | TTORNEY DOCKET NO.  | CONFIRMATION NO.  |
| 10/657,469 TITLE OF INVENTION  | 09/08/2003<br>I: VALIDATING A TRA   | NSACTION WITH USE  | Fred S. Cook  R VOICE AUTHENTICA   | TION USING WIRE  | 1387A<br>LESS COMMUNICATIOI   | 9818  |
| APPLN. TYPE  | SMALL ENTITY  | ISSUE FEE DUE  | PUBLICATION FEE DUE  | PREV. PAID ISSUE F   | EE TOTAL FEE(S) DUE   | DATE DUE  |
| nonprovisional   | NO  | \$1400   | \$0  | \$0  | \$1400  | 09/21/2007  |
| EXAM   | IINER   | ART UNIT ·   | CLASS-SUBCLASS   | ]  |   |   |
| NGUYEN   | N, SIMON  | 2618   | 455-403000   | •  | •   |   |
| Address form PTO/SI  "Fee Address" ind PTO/SB/47; Rev 03-( Number is required.  3. ASSIGNEE NAME A   | ication (or "Fee Address")2 or more recent) attach  ND RESIDENCE DATA less an assignee is ident th in 37 CFR 3.11. Comp           | Indication form cd. Use of a Customer  | (1) the names of up to or agents OR, alternation (2) the name of a single registered attorney or a 2 registered patent attorney in the listed, no name will be the PATENT (print or type data will appear on the p T a substitute for filing an (B) RESIDENCE: (CITY | vely, e firm (having as a magent) and the names of meys or agents. If no printed.  De) atent. If an assignee assignment. | ember a 2 of up to name is 3 is identified below, the do  | ocument has been filed for  |
| Please check the appropr   | riate assignee category or  | categories (will not be pr   | inted on the patent):  | Individual Corpo   | oration or other private gro  | up entity Government  |
|  | are submitted: No small entity discount p   | permitted)   | ☐ A check is enclosed. ☐ Payment by credit car   | d. Form PTO-2038 is  | the required fee(s) any det   |   |
| a. Applicant claim   | tus (from status indicated  | s. See 37 CFR 1.27.  |  | •  | ENTITY status. See 37 CF  |   |
| NOTE: The Issue Fee an interest as shown by the  | d Publication Fee (if requeecords of the United Sta   | uired) will not be accepted tes Patent and Trademark   | d from anyone other than t<br>Office.  | he applicant; a register   | red attorney or agent; or th  | e assignee or other party in  |
| Authorized Signature   |   |  |  | Date   |   |   |
| Typed or printed name  |   |  |  |  |   |   |
| This collection of inform<br>an application. Confiden<br>submitting the complete<br>this form and/or suggesti<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223 | nation is required by 37 C tiality is governed by 35 d application form to the ions for reducing this but /irginia 22313-1450. DC | FR 1.311. The informatic<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>den, should be sent to the<br>NOT SEND FEES OR ( | on is required to obtain or r<br>1.14. This collection is est<br>depending upon the indive<br>e Chief Information Office<br>COMPLETED FORMS TO   | retain a benefit by the<br>imated to take 12 min<br>ridual case. Any commer, U.S. Patent and Tra<br>D THIS ADDRESS. S    | public which is to file (and<br>utes to complete, including<br>nents on the amount of tin<br>demark Office, U.S. Depa<br>END TO: Commissioner f | by the USPTO to process)<br>g gathering, preparing, and<br>ne you require to complete<br>rtment of Commerce, P.O.<br>or Patents, P.O. Box 1450, |

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| 10/657,469                  | -                   | 09/08/2003 | Fred S. Cook         | 1387A                           | 9818             |  |
| 28004 7590 06/21/2007 .     |                     | •          | EXAM                 | EXAMINER                        |                  |  |
| SPRINT                      |                     |            |                      | NGUYEN, SIMON                   |                  |  |
|                             | 6391 SPRINT PARKWAY |            |                      |                                 | PAPER NUMBER     |  |
| KSOPHT0101-<br>OVERLAND F   |                     | 66251-2100 |                      | 2618<br>DATE MAILED: 06/21/200' | 7                |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 143 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 143 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.